QUORUM
PRIVATE WEALTH

FREQUENTLY ASKED QUESTIONS

Why did you create your own firm?

Quorum Private Wealth was founded to put our clients' interests first.

We are an independent firm*, meaning we use an open architecture, non-proprietary platform, which gives us the freedom and flexibility to tailor our recommendations and source solutions for our clients from a wide range of best-in-class resource partners. Our independence enables us to continue to provide our comprehensive service and guide our clients towards the achievement of their goals with objectivity, integrity, competency, transparency and collaboration.

How will I benefit?

Owning our own firm allows us to focus on you even more.

With your best interests as our only agenda, our team is free to focus exclusively on addressing your individual needs and serving as your true advocate and fiduciary. You will continue to receive our collaborative approach enabling you to pursue your financial goals and dreams. Because we are independent and own our own firm, we work in an open architecture, which means that you will benefit from our access to best-in-class products and services from a wide variety of resource partners.

What will change?

Our team, services, processes and fees will remain the same, however our capabilities will expand.

We are thrilled that our entire team of 8 financial professionals from The Barry & Milligan Group are now part of Quorum Private Wealth. We will continue to provide the high-quality financial planning and operational services that you are accustomed to, with no change to the fee you pay. Our investment approach, starting with an understanding of your financial objectives and the engagement of non-proprietary investment management for implementation will continue. Our capabilities will broaden, including enhanced technology supporting our financial planning and operational processes, as well as access to more investment products and strategies, created by working in an open architecture environment.

Where will my assets be held, and will they be safe?

We selected BNY Mellon | Pershing as our custodian for client assets.

The safety and security of your assets is of critical importance to us. With that in mind, and after a rigorous due diligence process, we selected BNY Mellon | Pershing as our custodian based on their infrastructure, research, technology, and service capabilities. We are incredibly impressed with the measures they take to protect client assets, and we are reassured by their strength, size, and reputation in the industry.

Founded in 1939, Pershing is the #1 clearing firm in the U.S. and is the trusted choice of more than 1,300 financial institutions, representing seven million investors. Pershing custodies $2.0T Global client assets and maintains $2.0B in net capital. Pershing's parent company, BNY Mellon, with $41.1T in assets under custody and/or administration, is a leading provider of investment management and investment services and the oldest financial institution in the U.S., and was founded by Alexander Hamilton in 1784.

Why wasn't I told sooner about your decision to launch a new firm?

Legally, we were not permitted to do so.

While we would have enjoyed the opportunity to share our plans with you in advance, legal considerations and contractual restrictions prevented us from doing so. We are committed to respecting and abiding by those covenants as investment professionals, even though it was personally challenging to not have the ability to speak with you beforehand.

How can I contact you?

Our new office is located at:

1676 North California Street, Suite 350
Walnut Creek, CA 94596

Main Office Line: (925) 488-0000
Website: www.quorumpw.com

Here is our updated contact information:

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What are the next steps?

We would be honored to continue to work with you.

We will be reaching out to you in the coming days to introduce you to Quorum Private Wealth and share more details of the benefits of engaging with our new firm. We will walk you through the process we will follow to ensure a smooth transition of your accounts and assets. We sincerely believe that the opportunity to retain a longstanding relationship with us – with our personal, proven commitment to your individual needs, combined with the newly available benefits of our independent firm – will outweigh any minor inconvenience posed by the transition itself.